

<b>O I P E</b> <b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing) <b>US PATENT &amp; TRADEMARK OFFICE</b> AUG 30 2001	Application Number	09/771811
	Filing Date	01/29/2001
	First Named Inventor	Steven Michael Bellovin
	Group Art Unit	2131
	Examiner Name	
Total Number of Pages in this Submission	Attorney Docket Number	2000-0008

## Enclosures (check all that apply)

- ☐ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment / Response  
☐ After Final  
☐ Affidavits / Declaration(s)  
☐ Petition for Extension of Time Request  
☐ Express Abandonment Request  
☐ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53  
☐ Response to Missing Parts/Incomplete Application

- ☐ Assignment & Recordation Cover Sheet  
☐ Drawing(s) & Letter to Official Draftsman  
☐ Licensing-related Papers  
☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition  
☐ Petition to Convert a Provisional Application  
☐ Power of Attorney, Revocation Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Request for Refund

- ☐ After Allowance Communication to Group  
☐ Appeal Communications to Board of Appeals and Interferences  
☐ Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
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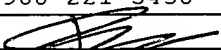
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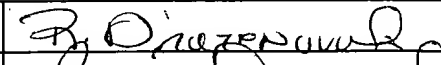
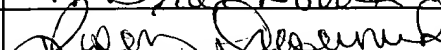
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Benjamin S. Lee	Reg. #	42787
TELEPHONE	908-221-5438		
SIGNATURE		DATE	8/28/01

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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

**Inventor(s):** Steven Michael Bellovin

**Docket No.:** 2000-0008

**Application No.:** 09/771811

**Filing Date:** 01/29/2001

**Examiner Name:**

**Group Art Unit:** 2131

**Title:** Method And Apparatus For Firewall With Multiple Addresses

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**THE COMMISSIONER FOR PATENTS**  
**WASHINGTON, D.C. 20231**

**SIR:**

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C. F. R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- ☒ 1. This information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); within three months of the date of the entry of the national stage as set forth in §1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. No certification or fee is required. (37 C.F.R. § 1.97(b)).
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under § 1.53(d), more than three months after the date of the entry of the national stage as set forth in §1.491 in an international application, after the mailing date of a first Office action on the merits, or after the mailing of a first Office action after the filing of a request for continued examination under §1.114, but before the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311 or an action that otherwise closes prosecution in the application. (37 C.F.R. § 1.97(c)).

☐ a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. § 1.97 (e)(1)).

☐ b. I hereby certify that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. § 1.97 (e)(2)).

☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. § 1.17(p) and to ensure consideration of the disclosed information.

☐ 3. This information disclosure statement is being filed after the mailing date of any of a Final action under § 1.113, a Notice of Allowance under § 1.311, or an action which otherwise closes prosecution, whichever occurs first, but on or before payment of the Issue Fee. (37 C.F.R. § 1.97(d)).

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☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. § 1.17(p) and ensure consideration of the disclosed information.

Respectfully,

Date: 8/27/01

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By: 

Benjamin S. Lee, Attorney
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AUG 30 2001

Complete if known

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as (necessary))

Application Number 09/771811  
 Filing Date 01/29/2001  
 First Named Inventor Steven Michael Bellovin  
 Group Art Unit 2131  
 Examiner Name  
 Attorney Docket Number 2000-0008

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figure Appears
		Number	Kind Code <sup>2</sup> (if known)			
	A1	5828833	A	Belville	0-27-1998	col.1, line 53- col.2, line 21; col.3, line 24-col. 5, line 14; col. 5, line 50 - line 63; col. 6, line 21 - line 34; col. 6, line 56 - line 63; col. 7, line 12 - line 38

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figure Appears	T*
		Office*	Number (if known)	Kind Code <sup>2</sup>				
	B1	WO	9935799	A	Yloenen Tatu	07-15-1999	page 1, line 21 - page 3, line 4; page 13, line 2 - page 14, line 8	<input type="checkbox"/>
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<sup>1</sup>Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents <sup>3</sup> Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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 First Named Inventor Steven Michael Bellovin  
 Group Art Unit 2131  
 Examiner Name  
 Attorney Docket Number 2000-0008

Sheet 2 of 2

## **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article(when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	PCT International Search Report dated June 25, 2001, regarding PCT Application No. PCT/US 01/02656.	<input type="checkbox"/>
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